

The background features a dark blue-to-purple gradient with a starry texture. Overlaid on the left side are several circular diagrams. A prominent one is a large circular scale with tick marks and numbers from 140 to 260. Other circles contain dashed lines and arrows, suggesting motion or force vectors.

# ATOMIC FORCES

An object that is at rest will tend to remain at rest. An object that is in motion will tend to remain in motion unless acted upon by an outside force.

-Isaac Newton

## THIS LECTURE

- Atomic motion and *ab initio* computation of forces
- *Ab initio* molecular dynamics (AIMD)
- Equilibrium structures and phases

# ATOMIC MOTIONS

- Born-Oppenheimer:  $e^-$ 's adjust instantaneously to atomic locations

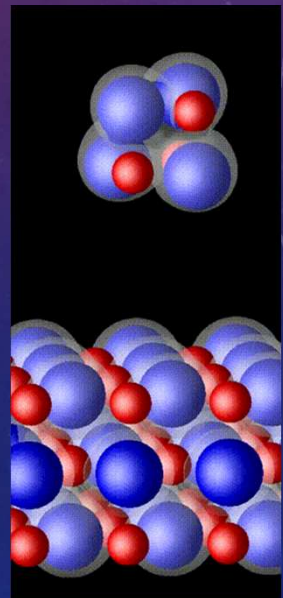
$$\Rightarrow E_0(\vec{R}_1, \vec{R}_2, \dots, \vec{R}_N)$$

- Atoms sufficiently heavy to be treated classically (most cases)

$$\lambda_{\text{th}} = \frac{h}{\sqrt{2\pi M k_B T}} \approx 0.19 \text{ \AA (Si)}, 0.29 \text{ \AA (C)} \text{ 😊} \quad 1.01 \text{ \AA (H)} \text{ 😞}$$

$$ZPE = \frac{1}{2} \hbar \sqrt{\frac{k}{m}} \approx 0.027 \text{ eV (Si)}, 0.041 \text{ eV (C)} \text{ 😊} \quad 0.140 \text{ eV (H)} \text{ 😞}$$

- Classical physics  $\Rightarrow \vec{F}_I = M_I \frac{d^2}{dt^2} \vec{R}_I$



# HELLMANN-FEYNMAN THEOREM

Definition of force:  $\vec{F}_I = -\frac{d}{d\vec{R}_I} E_0(\{\vec{R}_I\})$

- For us:  $E_0(\vec{R}_I) = \min_{\psi_{n\vec{k}}} E_0(\vec{R}_I, \psi_{n\vec{k}})$

- Trick: Define  $\psi_{n\vec{k}}^{(0)}(\vec{R}_I) = \arg \min_{\psi_{n\vec{k}}} E_0(\vec{R}_I, \psi_{n\vec{k}})$

$$\Rightarrow E_0(\vec{R}_I) \equiv E_0(\vec{R}_I, \psi_{n\vec{k}}^{(0)}(\vec{R}_I))$$

- Then,  $\vec{F}_I = -\frac{d}{d\vec{R}_I} E_0(\vec{R}_I, \psi_{n\vec{k}}^{(0)}(\vec{R}_I))$

$$= -\frac{\partial}{\partial \vec{R}_I} E_0(\vec{R}_I, \psi_{n\vec{k}}^{(0)}(\vec{R}_I)) - \sum_{n\vec{k}} \cancel{\frac{\partial}{\partial \psi_{n\vec{k}}} E_0(\vec{R}_I, \psi_{n\vec{k}}^{(0)}(\vec{R}_I))} \frac{d}{d\vec{R}_I} \psi_{n\vec{k}}^{(0)}(\vec{R}_I)$$

- But  $\frac{\partial}{\partial \psi_{n\vec{k}}} E_0(\vec{R}_I, \psi_{n\vec{k}}^{(0)}(\vec{R}_I)) = 0$  (minimum!), so  $\vec{F}_I = -\frac{\partial}{\partial \vec{R}_I} E_0(\vec{R}_I, \psi_{n\vec{k}}^{(0)}(\vec{R}_I))$

- Fixed- $\psi_{n\vec{k}}$  derivative  $\frac{\partial E_0}{\partial \vec{R}_I} = \frac{\partial}{\partial \vec{R}_I} (T_e + V_{ee} + V_{NN} + V_{eN}) = \frac{\partial V_{NN}}{\partial \vec{R}_I} + \frac{\partial V_{eN}}{\partial \vec{R}_I}$  are “easy” —  
only issue: need to know  $\psi_{n\vec{k}}^{(0)}$  from  $E_0$  calculation! (🤪)

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# AB INITIO MOLECULAR DYNAMICS (AIMD)

$$\vec{F}_I = M_I \frac{d^2 \vec{R}_I}{dt^2}$$

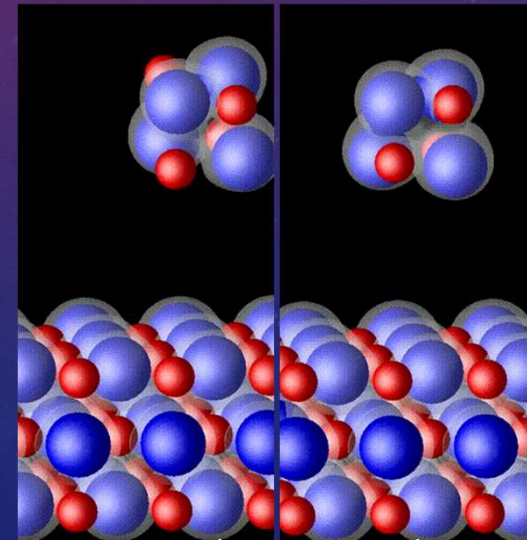
- Verlet algorithm (time reversible, conserves energy)

$$\vec{F}_I(\vec{R}_I(t_n)) = M_I \frac{\frac{\vec{R}_I(t_{n+1}) - \vec{R}_I(t_n)}{\Delta t} - \frac{\vec{R}_I(t_n) - \vec{R}_I(t_{n-1})}{\Delta t}}{\Delta t} = M_I \frac{\vec{R}_I(t_{n+1}) - 2\vec{R}_I(t_n) + \vec{R}_I(t_{n-1}))}{\Delta t^2}$$

⇒

$$\vec{R}_I(t_{n+1}) = 2\vec{R}_I(t_n) - \vec{R}_I(t_{n-1}) + \frac{\Delta t^2}{M_I} \vec{F}_I(\vec{R}_I(t_n))$$

- Study of impact of cluster temperatures on PLD of MgO  
⇒ “Hot” incoming MgO PLD clusters have higher sticking coefficient than “cold” clusters (*Freedman and Arias*)



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# EQUILIBRIUM STRUCTURES

- Over time,  $\vec{R}_I$ 's settle into lowest energy configuration (simulated annealing)

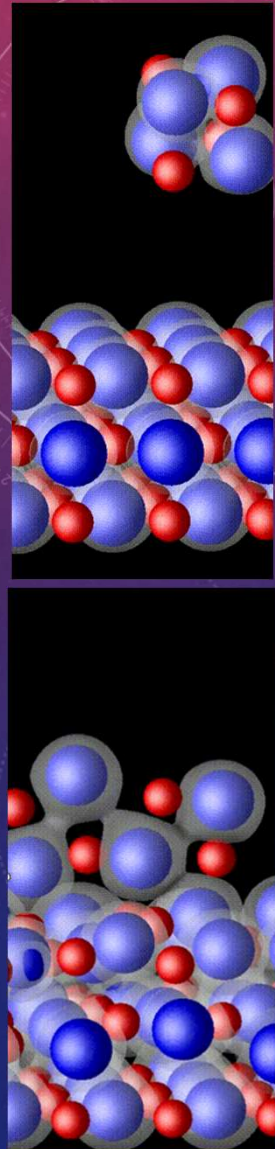
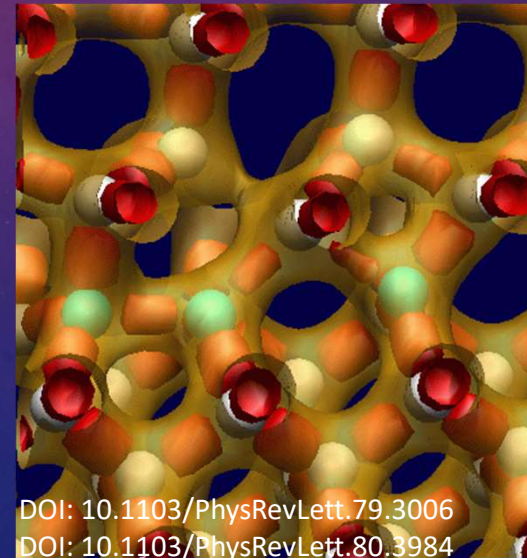
- Short cut:

$$\vec{R}_I \leftarrow \vec{R}_I + \epsilon \vec{F}_I(\vec{R}_I)$$

(gradient descent:  $\vec{F}_I = -\nabla_{\vec{R}_I} E_0$ )

- Led to discovery of paramagnetically active, 5-fold coordinated Si atom in core of 30° partial dislocation (*Engeness, Csanyi, Arias*)

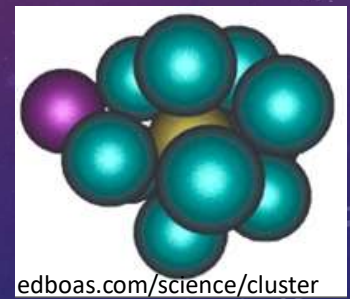
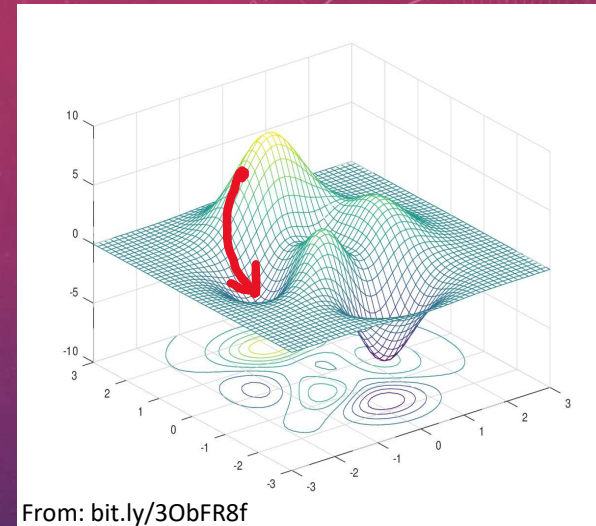
- Always verify your iterative convergence! (☹)





# MULTIPLE MINIMA PROBLEM

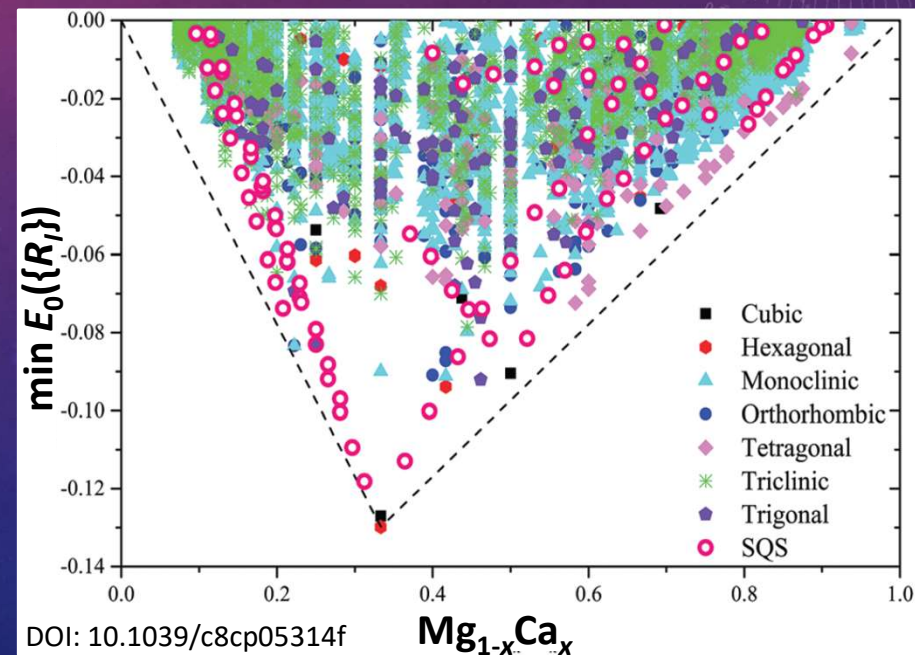
- Gradient descent finds “nearest” local minimum ( $e^-$  minimization is convex\*, has only 1 minimum 😊!)
  - \*Except magnetic systems (multiple magnetic orderings)
- Global minimum problem is unsolved
  - Subject of ML approaches
  - Simulated annealing
  - Chemical intuition
- All approaches descend from set of reasonable starting locations
- Nature often exhibits multiple structure/phases



# DETERMINATION OF STABLE PHASES

## Thermodynamic construction

- Compute  $\min E_0(R_i)$  for “exhaustive” list of minima
- Construct convex hull diagram
- Stable, realizable phases occur at vertices



The background is a gradient from deep purple at the top to dark blue at the bottom. It features numerous out-of-focus circular bokeh lights in shades of purple and blue. Overlaid on the left side are several technical diagrams, including a large circular scale with numerical markings from 140 to 260, and various smaller circles and arcs, some with arrows indicating direction.

THANK YOU!

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